#4/AR 07/15/99

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

Mark B. Wilson

July 2, 1999

Date

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alan J. Schroit

Serial No.: 09/224,558

Filed: 12/30/98

For: METHODS AND COMPOSITIONS FOR

INDUCING AUTOIMMUNITY IN THE

TREATMENT OF CANCERS

Group Art Unit: 1614

Examiner: Unknown

Atty. Dkt. No.: UTSC:594/WIM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Arnold White & Durkee Deposit Account No. 01-2508/UTSC:594/WIM.

This application is a continuation-in-part application of Serial No. 06/071,794, filed December 31, 1997 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of those documents not previously cited and submitted to the Patent and Trademark Office in prior application Serial No. 06/071,794 are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R § 1.97(e)(1), Applicant hereby certifies that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present statement, as evidenced by the date of the enclosed search report.

Enclosed is U.S. Patent 4,916,448, reference A3, cited in the International Search Report. We believe that U.S. Patent 4,916,448 was cited in error, as its subject matter is divergent from that of the patent application. We have also enclosed U.S. Patent 4,916,118, reference A2, which is believed to be the reference meant to be cited instead of U.S. Patent 4,916,448.

A: 215963(4MMZ01!,DOC)

Applicant respectfully requests that the listed documents be made of record in the present

case.

Respectfully submitted,

Mark B. Wilson Reg. No. 37,259

Attorney for Applicant

ARNOLD, WHITE & DURKEE P.O. Box 4433 Houston, Texas 77210-4433 (512) 418-3000

Date:

July 2, 1999